

SOCIAL INDICATORS RESEARCH SERIES

Volume 26

**CITATION CLASSICS  
FROM SOCIAL  
INDICATORS RESEARCH**

THE MOST CITED ARTICLES  
EDITED AND INTRODUCED BY  
ALEX C. MICHALOS

CITATION CLASSICS FROM SOCIAL INDICATORS RESEARCH

# Social Indicators Research Series

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Volume 26

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*The titles published in this series are listed at the end of this volume.*

# CITATION CLASSICS FROM SOCIAL INDICATORS RESEARCH

*The Most Cited Articles Edited and Introduced  
by Alex C. Michalos*

*Edited by*

ALEX C. MICHALOS

*University of Northern British Columbia,  
Canada*

 Springer

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## PREFACE

The idea of publishing some sort of a volume celebrating the first thirty years of publishing *Social Indicators Research* came to me some time early in the twenty-ninth year. When I shared the idea with the publisher's representative, Welmoed Spahr, she was very enthusiastic about it. We both thought we should try to produce a volume in 2004, preferably in time for the November meeting of the International Society for Quality of Life Studies. That implied that the publisher would need the complete manuscript by May 2004. So, we had a clear time frame for getting the job done.

As I reflected on the variety of volumes we might produce, I thought it would be particularly helpful for contemporary and future social indicators researchers to assemble a set of papers that would represent the best that had come out of our journal. The question was: How should we define 'best'? A few fairly elaborate decision procedures based on different criteria were constructed, and each seemed to require more time and other resources than we could spare. I rapidly settled on the idea of using article citation counts as a measure of article quality. I knew it was problematic, but so was everything else I could think of, and citation counts had four distinct advantages. First, such counts were relatively easy to obtain and objectively observable by other researchers. Second, there were about 40 years' of constructive and critical research on the strengths and weaknesses of the use of citation counts as indicators of the quality of research publications. Third, it was possible to provide an overview of that research which would be sufficient to allow readers to make their own judgments about my decision to use this approach. Finally, it would be wonderful if anyone unhappy with this approach would undertake an alternative analysis that would provide some confirmation or disconfirmation of the results reported here.

All the papers reprinted here appear as they were originally published, except for minor corrections of typos. In 1978, at the ninth World Congress of Sociology in Uppsala, I presented a short paper describing the origins of the journal. Because most of the people interested in the papers in this collection will have some interest in knowing how it all started and that paper has never been published, I have included it immediately following my introductory essay. Since it was written over 25 years ago, both its author and the publisher (D. Reidel) have changed quite a bit. I must say that it gives me considerable pleasure to be able to report that I have enjoyed our long relationship immensely, and I look forward to many more years of collaboration. When I read that John Maynard Keynes took over the *Economic Journal* from F.Y. Edgeworth in 1912 and edited it for the next 33 years, it occurred to me that 30 years is not too long a time to edit a journal.

Besides, for me, next to writing up my own research, editing other people's research is the most enjoyable academic activity I do.

I would like to thank Welmoed Spahr and Kluwer Academic Publishers for helping me produce this volume. Also, I would like to thank Bob Cummins and Ruut Veenhoven for providing me with electronic versions of their papers, which helped reduce our production costs.

# 1. Citation Classics: The Idea and the Collection

ALEX C. MICHALOS

After about three years in graduate school studying the history of religions, my interests turned to logic and the philosophy of science. My doctoral thesis was on a dispute between Karl Popper and Rudolf Carnap over the construction of quantitative measures of the acceptability of scientific theories (Michalos, 1971). For most of the 40 years that I was a university teacher, I taught courses in the philosophy of science, always including issues related to the evaluation of the activities and products of social and natural scientists. Since there are no generally accepted definitions of such key concepts as science, scientific explanation, scientific laws, scientific theories and scientific acceptability, but there are particular groups of researchers that tend to accept certain concepts while rejecting others (Michalos 1980), I take a fairly pragmatic approach to the construction of my own scientific vocabulary. For present purposes, the only part of that vocabulary that requires explanation concerns the term 'citation classic'.

I first encountered the term some years ago reading something by Eugene Garfield, the founder of the Institute for Scientific Information (ISI), the *Science Citation Index*, *Social Sciences Citation Index* and several other important works. According to Garfield (1985), "By definition, a *Citation Classic* is a paper or book that has been highly cited in its field" (p.404). From 1977 to 1993 the ISI publication *Current Contents* regularly featured an article called Citation Classics Commentary, which was subsequently replaced by In-Cites. Because different fields are characterized by different institutional arrangements (e.g., numbers and kinds of communication media, practitioners, standardized practices and rules of procedure), different numerical thresholds are used to identify classics in each field. In an earlier paper, Garfield (1976) wrote that

"... less than 25% of all papers will be cited ten times in all eternity! . . . any paper cited ten times in one year is *ipso facto* significant. Occasionally there is an anomaly. But a paper cited ten times in each of two successive years is well on its way to citation stardom. Whether the author is on the way to immortality depends on how well he or she does in other papers" (p.419).

An average paper in the *Science Citation Index* is cited about 1.7 times per year (Garfield, 1972). For the years 1955-1987, Garfield (1989) claimed that for the whole *Index* database,

"... more than 56 percent of the source items are uncited – not even self-cited. (Many of these source items are abstracts, letters, and editorials, of limited interest; nevertheless, a huge number of papers go uncited.)" (p.7).

In 1973 Garfield distinguished three kinds of “uncitedness”, namely, “the uncitedness of the mediocre, the unintelligible, the irrelevant”, then that “of the meritorious but undiscovered or forgotten”, and finally that

“of the *distinction* that comes to those whose work has become so well known (and presumably been previously so heavily cited) that one finds it at first tedious, then unnecessary, and finally actually *gauche* to cite such men at all” (p.413).

Hamilton (1990) reported that ISI data revealed that about 55% of the “papers published between 1981 and 1985 in journals indexed by the institute received no citations at all in the 5 years after they were published” (p.1331). In response, Pendlebury (1991) wrote that the precise figures were “47.4% uncited for the sciences, 74.7% for the social sciences, and 98.0% for the arts and humanities”. However, more importantly, he explained that

“These statistics represent every type of article that appears in journals indexed by the Institute for Scientific Information (ISI) in its *Science Citation Index*, *Social Sciences Citation Index*, and *Arts & Humanities Citation Index*. The journals’ ISI indexes contain not only articles, reviews, and notes, but also meeting abstracts, editorials, obituaries, letters like this one, and other *marginalia*, which one might expect to be largely un-cited. In 1984, the year of the data quoted by Hamilton, about 27% of the items indexed in the *Science Citation Index* were such *marginalia*. The comparable figures for the social sciences and arts and humanities were 48% and 69%, respectively.

If one analyzes the data more narrowly and examines the extent of uncited articles alone (this information was not yet available when Hamilton wrote his articles), the figures shrink, some more than others: 22.4% of 1984 science articles remained uncited by the end of 1988, as did 48.0% of social sciences articles and 93.1% of articles in arts and humanities journals. . . Only 14.7% of 1984 science articles by U.S. authors were left uncited by the end of 1988. . . articles published in the highest impact journals like *Science* are almost never left uncited” (pp.1410-1411).

Exhibit 1 illustrates a few thresholds for citation classics from different fields, ranging from papers with 50 or more citations covering the fields of geography and marine biology to 500 or more citations covering all fields listed in the *Science Citation Index*. Plomp (1990) used a threshold of 25 citations to identify “highly cited papers”, which is a more modest label than ‘citation classic’ and perhaps not quite the same idea. His rationale for the figure was interesting.

“Considering the (average) number of references in a paper as its ‘input’ and the number of citations achieved by that paper as its ‘output’, the ratio citations/references may be interpreted as the ‘gain factor’ of the paper; it sounds reasonable that a gain factor of 1 is a sort of watershed between papers recognized by the scientific community as important and papers not recognized as important. As the average number of references in scientific articles is about 20 (according to the 1986 SCI), I consider

N = 25 a good compromise for a paper to be considered as a highly cited paper (from here on labeled as HCP). This category includes only 3% of all articles cited between 1961 and 1980 (Garfield, 1984). Extensive explorations by the author have confirmed that by using HCP rather than the total number of citations as an indicator of scientific impact, the injustice to the many excellent scientists working in small scientific fields, so abundantly clear in lists of most cited authors (e.g., Garfield, 1981a), is greatly eliminated" (p.187).

As others had before him (e.g., Garfield, 1972), Plomp noticed, for example, that because in 1982 the 40 core biochemistry journals produced 13,500 articles while the 25 core astrosociences journals produced 4,500 articles, there were many more opportunities for citations in the former field than in the latter. In fact, "the most cited biochemistry papers obtained ten times as many citations as the most cited astrosociences papers".

In the *Encyclopedia of Library and Information Science*, the entry for 'citer motivations' says

"Citations are examples of unobtrusive or nonreactive social science measures. Unobtrusive measures are physical evidences of activity that exist independently of their source: the private act of authorship produces citations that are public objects available for scrutiny and analysis. As with many of these unobtrusive measures, it is difficult to ascertain in any given application what social or psychological construct the citation counts are measuring" (Brooks, 1988, p.48).

For social indicators researchers, this quotation has a remarkably familiar ring. In our terms the author was saying that citations are objective indicators providing unclear indications. They must be supplemented by subjective indicators revealing not only the motivation or aims of authors/citers, but also the meaning or interpretation of citations for those who read them. People like Garfield shared the view of sociologists of science like Merton (1977), who believed that the status of any scientist's research output

"...resides only in the recognition accorded his work by peers in the social system of science through reference to his work. . . Since recognition of the worth of one's work by qualified peers is, in science, the basic form of reward (all other rewards deriving from it) and since it can only be widely accorded within the social system of science when the attributed work is widely known, this provides institutional incentive for the open publication, without direct financial reward, of scientific work" (pp.47-48, as quoted by Lawani and Bayer, 1983).

Lawani and Bayer (1983) go on to say that

"The pressure for public diffusion of one's work through open publication is accompanied by the obligation within the institutional structure of science for the user of that freely published knowledge to make open reference to the sources to which he is indebted. 'Not to do so is to incur the sanctions visited upon those judged guilty of stealing another's intellectual property (i.e., plagiarism)' [Merton 1977, p.48]. This reflects the origin of the practice of citing and is a basic justification for

its application in studies of the sociology and history of science. . . Despite the ambiguities of citation practices, the difficulties of ascertaining why a paper is or is not cited, and the potential malpractices in citing, considerable evidence has been accumulated to suggest that citations do indeed provide an objective measure of what is variously termed “productivity,” “significance,” “quality,” “utility,” “influence,” “effectiveness,” or “impact” of scientists and their scholarly products” (pp.60-61).

The evidence takes many forms. For example, Narin (1976) reviewed 24 studies published between 1957 and 1975 that generally confirmed the hypothesis that citation counts are positively correlated with peer rankings of the quality of scientific articles, eminence of scientists, graduate schools, graduate departments, editor evaluations, Nobel prizes and other awards, authors’ incomes, access to resources, initial appointments and mobility. Eight of 12 studies that provided correlation coefficients had values of at least 0.6, with 5 of those above 0.7. The lowest value was 0.2. Brooks (1985) reported that Virgo (1977)

“. . .found citation analysis to be a consistent and accurate predictor of important scientific papers, better on the average than the individual scientist’s judgment which ‘is a reasonable conclusion if one considers that citations actually reflect a consensus of a large group of readers as compared to the evaluation of a single individual’ [Virgo, 1977, p.423]”.

Lawani and Bayer (1983) undertook a very thorough study comparing peer assessments of cancer research papers with the papers’ citation rates and concluded that “Highly rated papers are more highly cited than average papers”.

Notwithstanding such supporting evidence for the usefulness of citation counts in the evaluation of published research, Garfield has often published cautionary remarks about the use of such counts. For example, he wrote that

“Counts of this sort are strictly quantitative and objective. But even admitting this limitation, an author’s or a paper’s frequency of citation has been found to correlate well with professional standing. It is certainly not the *only* measure, nor one that can be used, for any purpose, in isolation. We do not claim for it the absolute reliability that critics of citation analysis have wrongly imputed to us when they have attacked it. The fact does remain, however, that it provides a useful objective criterion previously unavailable” (Garfield, 1981, p.135, reprinted in Garfield 1983).

Among the problems with using citation counts in the ISI databases to evaluate publications, Garfield (1983) mentioned the following.

“. . .there are undoubtedly highly useful journals that are not cited frequently [e.g., *Scientific American*]. Scientists read many such journals for the same reason people read newspapers and other non-scientific periodicals – to keep up with what is going on generally. . . Another consideration is that citation frequency is sometimes – indeed to some extent must be – a function of variables other than scientific merit. Some such variables may be an author’s reputation, the controversiality of

subject matter, a journal's circulation and its cost, reprint dissemination, its coverage by current-awareness and indexing and abstracting services, society memberships, the availability and extent of libraries' journal collections, national research priorities" (p.137); see also Garfield (1977, 1988).

In a series of papers, MacRoberts and MacRoberts (1986, 1987, 1989, 1989a) challenged the use of citation counts on a variety of grounds. From their papers and others I constructed the following list of criticisms. (1) Many individuals and works that have had an influence on the development of published papers are not cited in those papers (they estimated that "about 15 percent of the influence on a paper is contained in its references"); (2) sometimes important influences are mentioned in the text of published papers but not in their bibliographies; (3) the motivation for self-citations is suspect (Self-citations are generally estimated to be about 10% to 30% of all citations; Bonzi and Snyder (1991) surveyed 51 self-citing authors and found "very few differences in motivation" between self- and other-citations.); (4) when review papers are cited, it is unclear exactly who is being "rewarded"; (5) general references within a text also have unclear referents, e.g., "Mendelian genetics"; (6) ISI indexes contain many errors, e.g., when authors' names are spelled in different ways, or written sometimes with and sometimes without middle initials, separate entries may be made for the same document; when page and/or volume numbers are changed, separate entries may be made; when page numbers alone or page numbers and volume numbers are inverted, new entries may be made; (7) ISI indexes cover only about 10% of scientific literature; (8) "English language journals and western science are clearly over-represented, whereas small countries, non-western countries, and journals published in non-Roman scripts are under-represented."; (9) different disciplines are more or less well represented (e.g., 6% of biology journals are included, compared to 14% of clinical medicine journals); (10) politics seems to have influenced inclusions and exclusions (e.g., *Review of Radical Political Economy* is excluded, but *Public Interest* is included); (11) later proponents of views may be cited as the views' inventors; (12) proponents of views may be cited as opponents, and vice-versa; (13) people often cite material that "they have not seen or that they have seen but have not read"; (14) people make ceremonial and perfunctory citations (Moravcsik and Murugesan (1975) estimated about 40% of the references in a sample of high energy physics papers were perfunctory); (15) private communications are often influential but do not provide a published title to cite; (16) authors are notorious for repeating themselves in different papers, each of which may be cited as an additional contribution to research; (17) people sometimes select only one of several similar papers that influenced them; (18) they also redundantly cite several similar papers because they are similar, although they may have read and/or been influenced by one (Moravcsik and Murugesan (1975) estimated about one-third of the references in their sample were redundant); (19) they may cite a well-known or fail to cite a relatively unknown author because these practices are perceived to impress their readers; (20) about 70% of citations appear to be "multi-motivated"; (21) different

disciplines have different typical rates of citation, which are typically neglected by citation analysts (e.g., engineering and mathematics papers average 5 to 6 references per publication, psychology and biology average 8 to 10, earth and space science, physics, chemistry and clinical medicine average 12 to 15 and biomedical research papers average 18 to 20); (22) citation rates vary with countries of origin; (23) rates vary with the “size of the pool of available citers”; (24) methods and review papers “receive disproportionately more citations than theoretical or empirical papers”; (25) citation counts cannot allocate credit for papers with several authors; (26) researchers from some countries tend to be aware of and cite papers coming from their countries (e.g., Americans tend to cite papers by Americans); (27) citation counts do not distinguish positive or negative reasons for the citations; (28) authors tend to search the literature for and cite those papers that agree with their views; (29) citation rates vary with the physical accessibility of cited material; (30) changes in editors and editorial boards have produced changes in citation patterns (Sievert and Haughawout, 1989); (31) recent papers are cited more than older ones because there are relatively more of the former (Oppenheim and Renn, 1978); (32) rapidly developing fields like molecular biology and biochemistry are “more dependent on fresh data” and therefore generate relatively more citations (Vinkler, 1987).

Summarizing their criticisms, MacRoberts and MacRoberts, 1989a) wrote

“Apparently, the pioneers of citation analysis were so intent on finding an ‘objective’ measure of quality that, in their enthusiasm, they neglected to check their assumptions against events. . .What is the consequence of this discovery for the practical application of citation analysis? It alone should suffice to exclude evaluative citation analysis from the arena of science policy. Add to this our empirical findings, and those of others, and the claims made for citation analysis largely collapse. It would therefore be the better part of wisdom to place a moratorium on the use of citations in science policy until the problems are thoroughly aired, which means attending to critics rather than ignoring or dismissing them as uninformed and misguided ‘non-believers’” (p.10).

While I believe that most of the criticisms listed above are accurate and should not be ignored or dismissed, I feel the same way about all the correlational studies indicating some validity in the use of citation counts for evaluative purposes. In fact, I believe that many of the problems and limitations of citation counts are similar to problems and limitations encountered with objective indicators in other fields. While research by sociologists and psychologists of science (scientometricians) has not progressed as rapidly as that of other social indicators researchers into explorations of the subjective side of citation behaviour and motivations, it has certainly progressed. Good investigations and literature reviews of citer motivations may be found in Smith (1981), Prabha (1983), Cronin (1984), Brooks (1985, 1988), Puder and Morgan (1987), Moravcsik (1988) Cozzens (1989), Bonzi and Snyder (1991) and Liu (1993). Today, anyone writing an essay like this one relying heavily on citation counts must be aware of, and make readers aware, of their limitations. I hope that this brief overview of some of the literature has at least accomplished that.

More importantly, I hope that other researchers will regard this review of the citation classics from *Social Indicators Research* as merely a first step toward an evaluation of our research literature. Additional steps involving survey research and detailed, systematic appraisals of publications must be taken, and the sooner the better.

Given the background information just reviewed, and especially that summarized in Exhibit 1, it is obvious that we have some flexibility in specifying a threshold figure for citation classics. I exchanged some ideas with people at Kluwer and at ISI regarding a list of the top 50 most frequently cited papers or, alternatively, papers with 50 or more citations. David Pendlebury provided us first with a list of all papers with 35 or more citations, and then with a complete list of every cited paper from the journal for the whole period from March 1974 to December 2003. I cleaned up the material a bit, entered the whole dataset into an SPSS file and produced the statistics in Exhibit 2. There were a total of 1392 titles published in the first 30 years. Since the journal seldom published book reviews, editorials or letters, most of those titles represented articles. Eight hundred and twenty articles (58.9%) were not cited at all, which is a bit higher than the 55%-57% general average for the whole *Index* database, lower than the 74.7% for all social sciences material and higher than the 48% for social science articles alone. The 572 (41.1%) cited articles generated 4979 citations, with a classic hyperbolic distribution curve in which relatively few articles attract many citations and relatively many articles attract few citations. The mean number of citations per published article was 3.6, with a mode and median value of zero, and a standard deviation of 11.8. There were 34 articles with 35 or more citations each, and those 34 (2.4%) articles attracted  $2208/4979 = 44.4\%$  of all citations. The top 68 (4.9%) articles attracted  $2997/4979 = 60.2\%$  of all citations. Given their extraordinary contribution to the journal's total citation count, and the fact that articles with 35 or more citations were nearly three standard deviations above the mean, those articles form a fairly distinguished lot. Therefore, I decided to use 35 as the threshold figure for designating citation classics from *Social Indicators Research*. Of course, other researchers may prefer to use other figures.

The Appendix to this introduction contains the complete list of cited articles with their citation figures. The articles are divided into the decades of their publication, 1974-79, 1980-89, 1990-99 and 2000-03, and each is listed alphabetically by first authors' names. After spending some hours cleaning up the list, I became convinced that I would never be able to catch all the errors in the time that was available to me. But it is a pretty good list for others to begin with. At the end of the Appendix there is a short table summarizing publication and citation figures.

Exhibit 3 lists our 34 citation classics with their citation figures. Because it takes some time for articles to be discovered, used and cited, there are no classics from the 2000-03 period. There are 10 articles (29.4%) each from 1974-79 and 1990-99, and 14 (41.1%) from 1980-89. By authors' countries of origin, there are 16 (47.1%) from the USA, 5 (14.7%) each from Canada and Australia, 3 (8.8%) from the Netherlands, 2 (5.9%) from the UK and 1 (2.9%) from Israel.

By first authors' names, there are 5 (14.7%) by Andrews, 4 (11.8%) by Diener, 3 (8.8%) each by Veenhoven and Headey, 2 (5.9%) each by Cummins, McKennell and Michalos, and one each by all other authors. Collectively, 37 authors produced the 34 papers, and there are 16 single-authored papers. Using Plomp's threshold for highly cited papers, only 8 more papers would be added to the 34.

Nineteen (55.9%) of the 34 articles appeared as the lead article in some issue. This seemed quite remarkable to me because I was responsible for sequencing the set of articles for every issue and I always put what I regarded as the best available article first. Since we know that only 2.4% of all articles ended up as classics, either (a) I was a pretty good judge of quality, (b) the lead articles tend to be read more than others (like newspaper articles), or (c) both.

Examining the content of the articles, I was shocked to discover that all but one of them (McCall, 1975) focused on some aspect of subjective indicators. In view of the historical facts that the field was originally dominated by researchers interested in objective indicators and that today practically all researchers agree that objective and subjective indicators are equally important, the near total dominance of subjective indicators research in our classics is both surprising and disturbing. I would guess that the main reason for the dominance of subjective indicators research is that there are relatively more psychologists and people interested in personal reports about a good quality of life than there are others. While "Others" would include a wide variety of people, e.g., sociologists, demographers, gerontologists, geographers, environmentalists, economists, political scientists and population health researchers, each group would have a relatively narrow range of interests compared to those interested in the psychological structure of perceived well-being. Whether or not there is anything to that explanation, it would be a pity if objective indicators research came to be relatively neglected in the future. Hopefully, this publication can serve as a wake up call for researchers to redirect attention to redressing the balance.

Although the articles in this collection are assembled chronologically, they are discussed below in an order that emphasizes their interrelations and reveals the gradual expansion of the frontier of research in subjective well-being. Only 17 articles are included because they seemed to be sufficient to reveal the progress made over the past 30 years, with a minimum of duplication.

The article by McCall (1975) contains a brief but historically instructive account of the state of the discussion in the early 1970s about the definition of the phrase 'quality of life'. There are several nice distinctions drawn and some arguments are given in favour of a definition of 'quality of life' based on objective indicators. First, he claimed that "to define QOL in terms of the general happiness, of 'the greatest happiness of the greatest number,' would be merely to repeat Mill's work". If one's analysis went no further than the definition, that might be true, but no contemporary proponent of Mill's formula ends his or her analysis at that point, e.g., see the paper in this collection by Veenhoven (1994). Second, he claimed that a society that looked good on the basis of objective indicators (e.g., full employment, low crime rates) would have a higher QOL than one that looked bad on the same basis even though

people in the latter society were happier (had higher subjective well-being ratings) than those in the former. This is patently question-begging, and he offers nothing stronger in its defence than “intuitively, one would think that the QOL of” the society with the superior objective indicators is superior. It has, in his view, “the *necessary conditions* for happiness”, and it is these conditions that he regards as defining QOL. In my view, people’s perceived well-being measured by subjective indicators constitutes a complementary and equally necessary defining condition of QOL. That is, the quality of life of an individual or a community should be defined and measured by both objective indicators of their living circumstances (Veenhoven’s ‘livability indicators’) and subjective indicators of their perceived well-being (happiness, satisfaction or subjective well-being) (Michalos 2003, 2004). (It must be remembered, of course, that some evaluation by someone is necessary in order to determine exactly what objective indicators indicate evaluatively.) While McCall allowed that subjective indicators might be *signs* of but not *constitutive* of QOL, my view is that high levels of perceived well-being, measured by subjective indicators, are *partly constitutive* of QOL, the other part being people’s living circumstances broadly construed. I regard my view as socially constructed and subject to revision. I think it is generally representative of the views of the majority of social indicators researchers today, but I have not done any systematic research to support that belief.

With some oversimplification, we might use the two necessary conditions to characterize four states of affairs. If one’s living conditions and perceived well-being are good, then the overall quality of one’s life is good. This we may call Real Paradise. If one’s living conditions are bad and one’s perceived well-being is good, then the overall quality of one’s life is not good. This would be the proverbial Fool’s Paradise. If one’s living conditions are good and one’s perceived well-being is bad, then the overall quality of one’s life is still not good. It might be called a Fool’s Hell. Finally, if one’s living conditions and perceived well-being are bad, then the overall quality of one’s life would certainly be bad. That, I suppose, could be called Real Hell. Since living conditions and perceived well-being admit of diverse levels or degrees of goodness or desirability in some sense, these blunt distinctions could easily be made more sophisticated and subtle. Fortunately, there is no need to pursue such complications here.

In another section of McCall’s paper he claims that because human needs may be objectively determined and are in principle limited while human wants may only be subjectively determined and are in principle unlimited, a notion of quality of life defined in terms of need fulfillment is preferable to one defined in terms of want fulfillment. He relied heavily on Maslow’s (1954) theory of a hierarchy of needs, and the strength of his position is directly proportionate to the persuasiveness of his case for the objectivity of needs versus wants. In Michalos (1978) I devoted a considerable amount of space to providing fairly rigorous definitions of ‘needs’ and ‘wants’, and concluded that it would be impossible to make such a case persuasive. I thought that if anyone could have made such a case, Braybrooke (1987) would have done it, but a careful reading

of that volume only reinforced my earlier view. A definition of 'quality of life' based on some set of objectively determined needs seems to me to be unachievable and, in the light of my views explained in the preceding two paragraphs, undesirable.

The first and last articles in the collection were published 22 years apart, but they addressed the same basic problem, with some different additional hypotheses and methodologies. The basic problem was to empirically determine the total number of domains required for a full assessment of the perceived quality of life of individuals and communities.

The paper by Andrews and Withey (1974) was followed by several articles and by their fine book, Andrews and Withey (1976). They began by creating a "list of items which, ideally, would include all the significant 'concerns' of people". They found 800 items by examining published lists from national and international bodies, 8 different national samples of Americans, representative data from 12 other countries, structured interviews of a dozen people with diverse backgrounds and lists of published values. Using "some *ad hoc* clustering to combine concerns", they found 123 items that seemed to cover about 100 concerns. Then they applied Guttman (1968) Smallest Space Analysis to a 62-item subset of the 123 items, which was possible because the 62 items were used in the US national survey of May 1972 (N = 1297). The "62 items were reduced to 30 semi-independent domains", and finally to 12, on the basis of three criteria, namely, (1) predictive power, (2) dispersion in multi-dimensional space and (3) policy relevance. The 12 domains were house/apartment, spare time activities, things done with family, your health, amount of fun, time to do things, job index, national government index, efficacy index, family index, consumer index and money index. To test the robustness of the dozen domains, Andrews and Withey undertook separate analyses on 10 subgroups, including, ". . . men, women, blacks, four different age groups, two groups extreme with respect to socio-economic status, and a group of married, white, employed men in their middle years with children living at home".

Summarizing their work, they wrote,

"A series of analyses, some of them replicated in more than one survey, showed that a particular subset of 12 domains could explain 50% to 60% of the variance in sense of overall life quality, that neither other domains nor standard classification variables contributed anything additional to this explanatory power, and that this level of explanation could be achieved in each of 22 different subgroups of the American population (defined in demographic terms) as well as in the population considered as a whole. . . one wonders how close to the actual upper limit is the achieved explanatory power of 50% -- 60%. Given the unreliability of the measures the upper limit is certainly not 100%. Further work will attempt to assess the reliability of the measures employed" (p.23).

The dependent variable used to measure "overall life quality" was called "Life #3", whose values were equal to the mean score resulting from asking "How do you feel about your life as a whole?" at two points of time 8 to 12 minutes apart in each interview. The two responses to the same question (Life #1 and Life #2) usually correlated with each other in the 0.6 to 0.7 range. The

response scale used was the now-famous 7-point Delighted-Terrible scale (DT scale). For reasons that will be made clear below (in the discussion of Andrews and McKennell, 1980), the DT scale contains two words specifically designed to elicit an affect-based response, i.e., “pleased” and “unhappy”. The “explanatory power” of the 12 domains was measured by Multiple Classification Analysis, which is a kind of ordinary least-squares regression, using the various mean DT scores for each domain as predictors. In a footnote the authors explained that they tried weighting domain DT scores with “importance scores”, but “no predictive gain could be achieved”. They also “thought there might be substantial interactions in the data, but so far, none of marked effect has been found”. The “standard classification variables” included respondents’ income, sex, race, family life cycle, age and education.

Cummins (1996) began by scanning 1,500 articles providing data on life satisfaction, looking for “different terms that had been used to describe domains of life satisfaction”. For an article’s terms to be used, the article had to have at least three domains purporting to “represent a broad indication of life quality”, and a detailed description of the scales used and average scores obtained for each domain. Most importantly, in contrast to Andrews and Withey, “Responses to criteria of happiness were excluded”. All together, Cummins found 32 studies meeting his criteria, and those studies used 351 different domain names. The 68 samples described in those studies were “of four broad types: general population probability or quota samples, general population samples based on a variety of specific criteria, samples of people with chronic medical conditions, and samples comprising people with a chronic psychiatric impairment”. The inclusion of samples of people with chronic physical and mental health problems was a notable step beyond Andrews and Withey.

His first aim was to determine how many domain names could be categorized under one of the seven domain headings of his Comprehensive Quality of Life Scale (ComQol). The latter’s domains include material well-being, health, productivity, intimacy, safety, community and emotional well-being. He found that 83% of the 351 domain names could be reasonably classified into one or another of ComQol’s seven domains. For example, ComQol’s category of “intimacy” includes things like family life, family relations, friendships, marriage, living partner and spouse. He listed the 56 domain names that did not fit into the ComQol categories and indicated that “the question as to the appropriate number of domains must remain open”. Nevertheless, he expressed reservations about adding the particular domain of government because “Not only would the inclusion of such a domain exert a strong negative bias on life satisfaction measurement, but also people generally report these aspects of life to be unimportant to them personally”.

His second aim was to see if “a hierarchy of domain satisfaction could be established” and, if so, third, to see if it was invariant among groups with high or low levels of life satisfaction. Examining mean values for each domain across four levels of reported life satisfaction, he found that there was indeed a hierarchy with the two domains of intimacy and health “consistently above the study mean [of Z-scores], while the other five domains lay consistently below.